

Application No.: 09/665,065

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: December 8, 2006

Signature: C. Allen

(C. Allen)

RECEIVED
CENTRAL FAX CENTER

DEC 08 2006

Docket No.: 38898-172161
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shaath et al.

Art Unit: 3626

Application No: 09/665,065

Examiner: C. L. Gilligan

Confirmation No: 5244

Filed: September 19, 2000

Atty. Docket No: 38898-172161

For: METHOD AND SYSTEM FOR
ELECTRONIC FILE LIFECYCLE
MANAGEMENT

Customer No:
26694
PATENT TRADEMARK OFFICE

RE-SUBMISSION OF PREVIOUSLY FILED AMENDMENT

MS AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Attached is an Amendment previously filed by facsimile on October 31, 2006 for the above referenced application. Applicants' account has been charged for the previously filed Extension of Time and Notice of Appeal, however the Amendment does not appear in PAIR or PALM.

Also submitted herewith are the previously filed Notice of Appeal, Extension of Time, and the Auto-Reply Facsimile Transmission indicating that the Amendment and accompanying papers were received on October 31, 2006.

It is not believed that any additional fees are required at this time. Entry of the Amendment into the record and examination are respectfully requested.

FROM VENABLE LLP VIENNA VA

(FRI)12. 8'06 11:15/ST. 11:14/NO. 4862865731 P 4

Application No.: 09/665,065

RECEIVED
CENTRAL FAX CENTER

DEC 08 2006

Dated: December 8, 2006

Respectfully submitted,

By 

Caroline J. Syndell

Registration No.: 56,784

VENABLE LLP

P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant

DEC 08 2006

FAX TRANSMISSION**DATE:** December 8, 2006**PTO IDENTIFIER:** Application Number 09/665,065-Conf. #5244
Patent Number**Inventor:** Shaath et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** VENABLE LLP

Caroline J. Swindell

PHONE: (703) 760-1676**Attorney Dkt. #:** 38898-172161**PAGES (Including Cover Sheet):** 31**CONTENTS:**Re-submission Of Previously Filed Amendment (2 pages)
Fee Transmittal SB/17 (1 page)

Copy of: USPTO Auto-Reply Facsimile Transmission Receipt of 10/31/06 (1 page)

Copy of: Venable yellow filing receipt No. 135841 of 10/31/06 (1 page)

Copy of: Fee Transmittal SB/17 of 10/31/06 (1 page)

Copy of: Notice of Appeal of 10/31/06 (1 page)

Copy of: Extension of Time of 10/31/06 (1 page)

Copy of: Amendment Transmittal Letter of 10/31/06 (18 pages)

Copy of: Amendment in Response to Final Office Action of 10/31/06 (18 pages)

Copy of: FAX Transmittal Cover Sheet of 10/31/06 (1 page)

Copy of: Certificate of Transmission Under 37 CFR 1.8 (1 page)

FAX Transmittal Cover Sheet (1 page)

Certificate of Transmission Under 37 CFR 1.8 (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (703) 760-1676 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

VENABLE LLP

P.O. Box 34385, Washington, DC 20043-9998

Telephone: (202) 344-4000 Facsimile: (202) 344-8300

809638

RECEIVED
CENTRAL FAX CENTER

DEC 08 2006

(FBI) 12: 8' 06 11:15/ST. 11:14/NO. 4862865731 P 2

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 09/665,065

Attorney Docket No.: 38898-172161

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on December 8, 2006
Date

C. Allen

Signature

C. Allen

Typed or printed name of person signing Certificate

Registration Number, if applicable

(703) 760-1611

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Re-submission Of Previously Filed Amendment (2 pages)

Fee Transmittal SB/17 (1 page)

Copy of: USPTO Auto-Reply Facsimile Transmission Receipt of 10/31/06 (1 page)

Copy of: Venable yellow filing receipt No. 135841 of 10/31/06 (1 page)

Copy of: Fee Transmittal SB/17 of 10/31/06 (1 page)

Copy of: Notice of Appeal of 10/31/06 (1 page)

Copy of: Extension of Time of 10/31/06 (1 page)

Copy of: Amendment Transmittal Letter of 10/31/06 (1 pages)

Copy of: Amendment in Response to Final Office Action of 10/31/06 (18 pages)

Copy of: FAX Transmittal Cover Sheet of 10/31/06 (1 page)

Copy of: Certificate of Transmission Under 37 CFR 1.8 (1 page)

FAX Transmittal Cover Sheet (1 page)

Certificate of Transmission Under 37 CFR 1.8 (1 page)

809639

FROM VENABLE LLP VIENNA VA
TO:Auto-reply fax to 7038218'

COMPANY:

(FRI)12. 8'06 11:16/ST.11:14/NO.48628657

RECEIVED
CENTRAL FAX CENTER

DEC 08 2006

Auto-Reply Facsimile Transmission

COPY



TO:

Fax Sender at 7038218949

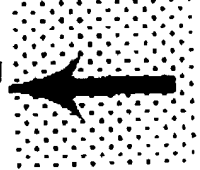
Fax Information

Date Received:

Total Pages:

10/31/2006 3:15:34 PM [Eastern Standard Time]

25 (Including cover page)



ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page
=====>

FROM VENABLE LLP VIENNA VA

(FRI)12. 8'06 11:16/ST.11:14/NO.48628657 P. 1

FAX TRANSMISSION

DATE: October 31, 2006

FIG IDENTIFIER: Application Number: 08R43,065-Cont# #5244
Patent Number

Inventors: Shogoh et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: VENABLE LLP

Carroll J. Windell

PHONE: (703) 760-1676

Attorney Ref. #: 18398-172101

PAGES (Including Cover Sheet): 25

CONTENTS:

Amendment Transmittal Letter (1 page)
FIG Transmittal Letter (1 page)
Amendment in Response to First Office Action (10 pages)
Petition/Request for Extension of Time (2 copies) (1 page)
Notice of Appeal (1 page)
Certificate of Transmission (1 page)
FAX Transmittal cover sheet (1 page)

Authorization to charge deposit account 22-0241 in the amount of \$475.00

If your receipt of this transmission is in error, please notify this firm immediately by calling call to sender at (703) 760-1676 and send the original transmittal to us by return mail at the address below.

This document is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this communication by someone other than the intended addressee or its designated agent is strictly prohibited.

VENABLE LLP
P.O. Box 34385, Washington, DC 20046-0038
Telephone: (202) 344-4000 Facsimile: (202) 344-8300

TRNG

PAGE 025 RCVD AT 11/01/2006 3:15:34 PM [Eastern Standard Time] * SVR:USPTO-EFXXF-5/6 * DNIS:2738300 * CSID:7038218949 * DURATION (mm-ss):08-06

DEC 08 2006

PATENT PROSECUTION RECEIPT OF FILING

135841

Venable Filing Number

Atty. Docket No: 38898-172161

Title of Application: METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT

Application No: 09/665,065

Patent No.:

Attorney/LAA: RPA

PTO Due Date: August 31, 2006

Current Date: October 31, 2006

Filing Date: September 19, 2000

Issue Date:

The following items were received from Venable LLP, Washington, D.C.,
by the U.S. Patent & Trademark Office on the date stamped hereon:

COPY

U.S. PTO FEES ENCLOSED

<input checked="" type="checkbox"/>	Amendment Transmittal Letter (1 page)
<input checked="" type="checkbox"/>	Fee Transmittal Letter (1 page)
	New U.S. Patent Application
	(pages of specification/claims)
	Rule 53(d) Continued Prosecution Application
	Rule 53(b) Continuation or Divisional Application
	(attach copy of specification, claims, drawings and declaration)
	U.S. National Stage Application of PCT Application
	Request for Continued Examination (RCE) under 37 CFR 1.114
	Response
	Substitute Specification
	Priority Document-Cert. Copy of
	Appln.# ; Country: ; Date Filed:
	Formal Drawings (sheets, Figs.)
	Inventor Declaration
	Assignment w/Cover Sheet
	Response to Notice to File Missing Parts
	Response to Notice to File Missing Requirements
	Response to Requirement
	Information Disclosure Statement with cited references
	Response
<input checked="" type="checkbox"/>	Amendment in Response to Final Office Action (18 pages)
<input checked="" type="checkbox"/>	Petition/Request for Extension of Time (2- mo. EOT - small entity)
	Power of Attorney
	Petition to Revoke
	Sequence Listing - CDR Enclosed? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Request for Non-Publication
	Terminal Disclaimer
<input checked="" type="checkbox"/>	Notice of Appeal (1 page)
	<input type="checkbox"/> Reply Brief (in triplicate) / <input type="checkbox"/> Request for Oral Hearing
	Confirmation of Hearing Petition
	Issue Fee Transmittal
	Certificate of Correction
	Maintenance Fee Transmittal
	Status Inquiry
	Other. (Please describe below)

	Filing Fee
	Surcharge Fee
	Additional Claim Fee
	Recordation/Indexing Fee
	IDS Fee
225.00	Extension Fee
250.00	Notice of Appeal Fee
	Brief on Appeal
	Oral Hearing Request Fee
	Petition Fee
	Issue Fee
	Publication Fee
	Maintenance Fee
	Other Fees (Describe)
\$475.00	Total Fees Paid

☐ Check Number Attached☒ Charge Deposit Account No.

*If Deposit Account was used, was a
copy of this form sent to Accounting?

☒ Yes ☐ No

Reviewed By:

Signature of Attorney

Date

RECEIVED
CENTRAL FAX CENTER
DEC 08 2006

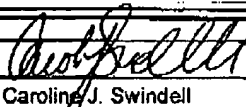
(FRI) 12. 8' 06 11:16/ST. 11:14/NO. 4862865731 P 8

COPY

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918). FEE TRANSMITTAL For FY 2005		Complete If Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/665,065-Conf. #5244
		Filing Date	September 19, 2000
		First Named Inventor	Kamel Shaath
		Examiner Name	C. L. Gilligan
TOTAL AMOUNT OF PAYMENT (\$) 475.00		Art Unit	3626
		Attorney Docket No.	38898-172161

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>22-0261</u> Deposit Account Name: <u>Venable LLP</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
	FILING FEES		SEARCH FEES		EXAMINATION FEES		
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
							Small Entity
							Fee (\$)
2. EXCESS CLAIM FEES							
Fee Description							Fee (\$)
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
							180
Total Claims		Extra Claims		Fee (\$)		Fee Paid (\$)	
- 20 +		x		=			
HP = highest number of total claims paid for, if greater than 20.							
Indep. Claims		Extra Claims		Fee (\$)		Fee Paid (\$)	
- 3 =		x		=			
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(g).							
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof		Fee (\$)	
- 100 =		/ 50		(round up to a whole number) x		=	
							Fees Paid (\$)
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							225.00
Other (e.g., late filing surcharge): 2252 Extension for response within second month							250.00
2401 Notice of appeal							

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	56,784
Name (Print/Type)	Caroline J. Swindell	Telephone	(703) 760-1676
		Date	October 31, 2006

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0851-0032

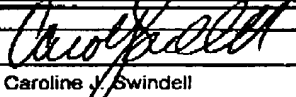
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4518). FEE TRANSMITTAL For FY 2006		Complete if Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number: 09/685,065-Conf. #5244	Filing Date: September 19, 2000
		First Named Inventor: Kamel Shaath	Examiner Name: C. L. Gilligan
		Art Unit: 3626	Attorney Docket No.: 38898-172161
TOTAL AMOUNT OF PAYMENT (\$) 0.00			

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input type="checkbox"/> Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

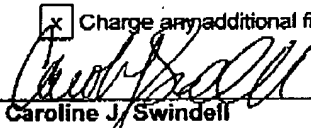
FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description							Small Entity Fee (\$)
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
Total Claims		Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		
- 20 =		x	=		Fee (\$)		Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20.							
Indep. Claims		Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 =		x	=				
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)			
- 100 =	/ 50	(round up to a whole number) x	=				
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge):							

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	56,784
Name (Print/Type)	Caroline J. Swindell	Telephone	(703) 760-1676
		Date	December 8, 2006

RECEIVED
CENTRAL FAX CENTER
DEC 08 2006

(PRT) 12. 8' 06 11:17/ST. 11:14/NO. 4862865731 P 11

COPY

AMENDMENT TRANSMITTAL LETTER				Docket No. 38898-172161	
Application No. 09/665,065-Conf. #5244		Filing Date September 19, 2000		Examiner C. L. Gilligan	
				Art Unit 3626	
Applicant(s): Shaath et al.					
Invention: METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims		- 20 =		x	
Independent Claims		- 3 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month;					225.00
Notice of appeal					250.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					475.00
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>22-0261</u> in the amount of \$ <u>475.00</u> .					
A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>22-0261</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Caroline J. Swindell Attorney/Agent Reg. No.: 56,784				Dated: <u>October 31, 2006</u>	
VENABLE LLP P.O. Box 34385 Washington, DC 20043-9998 (703) 760-1676					

798765

RECEIVED
CENTRAL FAX CENTER

DEC 08 2006

FAX TRANSMISSION**DATE:** October 31, 2006**PTO IDENTIFIER:** Application Number 09/665,065-Conf. #5244
Patent Number**Inventor:** Shaath et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** VENABLE LLP
Caroline J. Swindell**PHONE:** (703) 760-1676**Attorney Dkt. #:** 38898-172161

COPY

PAGES (Including Cover Sheet): 24**CONTENTS:**

Amendment Transmittal Letter (1 page)
Fee Transmittal Letter (1 page)
Amendment in Response to Final Office Action (18 pages)
Petition/Request for Extension of Time (2 months) (1 page)
Notice of Appeal (1 page)
Certificate of Transmission (1 page)
FAX Transmission cover sheet (1 page)

Authorization to charge deposit account 22-0261 in the amount of \$475.00

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (703) 760-1676 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

VENABLE LLP

P.O. Box 34385, Washington, DC 20043-9998
Telephone: (202) 344-4000 Facsimile: (202) 344-8300

798884

RECEIVED 8' 06 11:22/ST. 11:14/NO. 4862865731 P 31
CENTRAL FAX CENTER
DEC 08 2006

PTO/SB/97 (09-04)
Approved for use through 07/31/2008. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 09/665,065

Attorney Docket No.: 38898-172161

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on October 31, 2006
Date

COPY



Signature

Carla J. Allen

Typed or printed name of person signing Certificate

Registration Number, if applicable

(202) 344-4000
Telephone Number

Note:
Amendment Transmittal Letter (1 page)
Fee Transmittal Letter (1 page)
Amendment in Response to Final Office Action (18 pages)
Petition/Request for Extension of Time (2 months) (1 page)
Notice of Appeal (1 page)
Certificate of Transmission (1 page)
FAX Transmission cover sheet (1 page)

Authorization to charge deposit account 22-0261 in the amount of \$475.00

798864